# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Jan Raa et al

) Attorney Docket: CU-2140 TJK
)
Serial No.: 09/511,582 (CPA)
) Group Art Unit: 1643
)
Filed: February 23, 2000
) Examiner: Jeffery Stucker
)

Title: NOVEL, NON-ANTIGENIC, MUCOSAL ADJUVANT FORMULATION WHICH

MODULATES THE EFFECTS OF SUBSTANCES, INCLUDING VACCINE

ANTIGENS, IN CONTACT WITH MUCOSAL BODY SURFACES



## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service by Express Mail No. EL873838741US in an envelope addressed to the Hon. Commissioner for Patents & Trademarks, Washington, D.C. 20231, on March 8, 2002

Lisa Pistorio

Date of Signature: March 8, 2000

Hon. Commissioner of Patents & Trademarks Washington, D.C. 20231

### TRANSMITTAL LETTER

Please find enclosed the following documents pertaining to the above-referenced application:

Amendment and Request for Reconsideration

Request for 3-month Extension of term

Check in the amount of \$460.00

Certificate of Express Mail EL873838741US

Return Postcard

Respectfully submitted,

Attorney for Applicant

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Reg. No. 43,618

Date: 3/9/02 Telephone: ((312) 201-2327



MAR 1 9 2002

# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

**TECH CENTER 1600/2900** 

In re Patent Application of:

Jan Raa et al.

Serial No. 09/511,582 (CPA)

Filing Date: February 23, 2000

Atty. Docket No. CU-2140 TJK

Group Art Unit: 1643

Examiner: Jeffery Stucker

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ANTIGENS, IN CONTACT WITH MUCOSAL BODY SURFACES

Wildman, Harrold, Allen & Dixon 225 W. Wacker Dr. Suite 3000 Chicago, IL 60606-1229 August 20, 2001

Hon. Commissioner of Patents & Trademarks Washington, D.C. 20231

### AMENDMENT AND REQUEST FOR RECONSIDERATION

Sir:

This is in response to the Official Action mailed on September 11, 2001 for which the initial term for response expired December 11, 2001. Please find attached a check in the amount of \$460.00 for a 3 month extension of time based on the small entity status of the Applicant, thus bringing the term for response to March 11, 2002.

#### IN THE ABSTRACT:

Please replace the existing abstract with the following new abstract:

The invention is related to a mucosal adjuvant comprising a branched beta-1,3-glucan that contains beta-1,3-linked side chains anchored by a beta-1,6-linkage to the beta-1,3-linked chains